

Limit Up - Limit Down Market Wide Circuit Breaker Test Attestation Form

MIAX Pearl Equities Exchange



Pursuant to MIAX Pearl Rule 2622, Limit Up-Limit Down Plan and Trading Halts, designated MIAX Pearl Equities Members (“Members”) are required to participate in the annual Market Wide Circuit Breaker (“MWCB”) test. Designated Members must complete this form after participating in the MWCB test.

To the extent that an Equity Member participating in a MWCB test is unable to receive and process any of the messages required for MWCB testing, its attestation should notify the Exchange which messages it was unable to process and, if known, why.

Member Firm:

Firm Address:

Firm Contact:

Date Completed:

Contact Name:

Contact Phone Number:

Test	Completed	Comments
Receive and process MWCB halt messages from the SIPs	Yes	
	No	
Receive and process resume messages from the SIPs following a MWCB halt	Yes	
	No	
Receive and process market data from the SIPs relevant to MWCB halts	Yes	
	No	
Send orders following a Level 1 or Level 2 MWCB halt in a manner consistent with usual trading behavior	Yes	
	No	

I, _____, attest the Member firm named above, was able to or has attempted to complete the MCWB test requirements listed above.

Please save the completed form and attach it to an e-mail to Regulatory@miaxglobal.com.

MIAX Regulatory Department: 609-897-7309