

Continuous Quote Relief Form

MIAX Pearl Equities Exchange

The MIAX logo consists of the word "miax" in a lowercase, sans-serif font, with a small "TM" trademark symbol to the upper right of the "x". Above the "iax" portion of the logo is a horizontal line.

If a technical failure or limitation of a system of the Exchange prevents a Market Maker from maintaining timely and accurate electronic quotes, or prevents a Market Maker from communicating to the Exchange; the duration of such failure shall not be considered in determining whether the Market Maker has satisfied the quoting standard with respect to that equity security. The Exchange may consider other exceptions to this continuous electronic quote obligation based on demonstrated legal or regulatory requirements or other mitigating circumstances.

Market Makers seeking relief from the continuous quoting standard must provide the following information to the Regulatory Division at Regulatory@miaxglobal.com as soon as practical during the current trading session.

Select Exchange(s) (required): MIAX Pearl Equities Exchange

1. Date:
2. Firm Name:
3. MPID(s):
4. Contact Name:
5. Phone Number:
6. E-mail:
7. Equity Security(ies):
8. Session Requested:
9. Request Reason:
10. Beginning Relief Time:
11. End Relief Time:
12. Summary of Issue:

Please save the completed form and attach it to an e-mail to Regulatory@miaxglobal.com.

MIAX Regulatory Department: 609-897-7309