

Voluntary/Mutual Agreement Trade Nullification or Adjustment

MIAX Options Exchange
MIAX Pearl Options Exchange
MIAX Emerald Options Exchange



MIAX Members seeking a Voluntary/Mutual Agreement Trade Nullification or Adjustment must provide the following information to the Regulatory Division at Regulatory@miaxglobal.com.

This form must be submitted prior to 8:30 a.m. ET on the first trading day following the execution.

Select Exchange(s) (required):	MIAX	MIAX Pearl	MIAX Emerald
1. Date:		2. Firm Name:	
3. MPID(s):		4. Contact Name:	
5. Phone Number:		6. E-mail:	
7. Type of Review:	Voluntary Nullification	Voluntary Price Adjustment	
8. Reason		Preferred Price:	
10. Underlying(s):		9. Time of Execution (ET):	
12. Series:		11. Expiration:	
14. Complex Trade:	Yes	13. Call or Put:	Call Put
16. Price:		15. Trade Size:	
18. Additional Notes:		17. Stock Tied: Price:	Volume:

For multiple errors, attach an Excel spreadsheet containing the information in items #7 - #18 above

Please save the completed form and attach it to an e-mail to Regulatory@miaxglobal.com.

MIAX Regulatory Department: 609-897-7309