Via Portal Submission

August 12, 2026
MIAX Futures DCO Submission No. 26-07

Mr. Christopher Kirkpatrick
Secretary of the Commission
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street NW
Washington, DC 20581

**RE: <u>Rule Certification Submission Pursuant to Regulation 40.6(a); Amendments to MIAX Futures Risk Manual</u>**

Dear Secretary Kirkpatrick:

Pursuant to Commodity Exchange Act ("CEAct") Section 5c(c) and Commodity Futures Trading Commission ("CFTC") Regulation 40.6(a), MIAX Futures Exchange, LLC ("MIAX Futures" or "Exchange") hereby submits this self-certification of certain amendments to MIAX Futures' Risk Management Principles Reference Manual ("Risk Manual"), attached hereto as Exhibit A. MIAX Futures has respectfully requested confidential treatment for such amendments to the Risk Manual, which is being submitted concurrently with this submission. The Exchange intends to implement the amendments ten business days following the filing of this submission.

**<u>Overview of Amendments</u>**

MIAX Futures has amended its Risk Manual, as shown in the attachment accompanying this submission, to make certain amendments and updates related to governance and committee changes and to add a delivery margin add-on that when applied, is calculated independently of base margin requirements. The amendments also include other minor updating changes.

**<u>DCO Core Principles</u>**

In connection with updating its Risk Manual, the Exchange has reviewed the core principles for derivatives clearing organizations ("DCO Core Principles") and has determined that the amendments comply with the requirements of such principles. During the review, MIAX Futures identified the following DCO Core Principle as potentially being impacted:

- *DCO Core Principle D – Risk Management*: CFTC Regulation 39.13 requires DCOs to possess certain risk management tools and procedures. These amendments adding a delivery margin add-on serve to ensure the Exchange's risk management tools and procedures are up to date, and as such, the amendments are consistent with the requirements of Core Principle D, CFTC Regulations 39.13 and 39.36.

MIAX Futures hereby certifies that the amendments to its Risk Manual comply with the CEAct and the CFTC regulations promulgated thereunder. The Exchange is not aware of any substantive opposing views expressed regarding the amendments or this filing. MIAX Futures further certifies that, concurrent with this filing, a copy of the submission was posted on the Exchange website at the following link: https://www.miaxglobal.com/markets/futures/miax-futures/rule-filings. If there are any questions regarding this submission, please contact me at (612) 321-7176 or jkrause@MIAXGlobal.com. Thank you for your attention to this matter.

Sincerely,

James D. Krause
Associate Counsel

**EXHIBIT A**