



MINNEAPOLIS GRAIN EXCHANGE, LLC

EXCHANGE DISCIPLINARY ACTION

FILE: 21-l-24

CLEARING MEMBER: ABN AMRO Clearing Chicago LLC

RULES: **MGEX Rules 2.3.5. Reporting Requirements And Disciplinary Action, 3.1.8. Offsets And Transfer Trades and 1227.00. Trading Session Submissions & Resolution 2101.00.C.**

FINDINGS: The MGEX Disciplinary Committee charged ABN AMRO Clearing Chicago LLC with violating the aforementioned MGEX Rules & Resolution for misreporting and offsetting December 2021 Spring Wheat futures contracts during the prohibition period without the benefit of trade activity. Without admitting or denying the charges, ABN AMRO Clearing Chicago LLC submitted an offer of settlement. The settlement terms were accepted in satisfaction of the charges.

DISCIPLINARY ACTION: \$7,500 Settlement Fine

CUSTOMER HARM: No

BARRABLE: No

PRODUCT: HRSW

FINAL DATE: 8/15/2022

EFFECTIVE DATE: 8/15/2022

MINNEAPOLIS GRAIN EXCHANGE, LLC

400 South 4th Street | 130 Grain Exchange Building | Minneapolis, MN 55415
612-321-7101

mgex.com