

MINNEAPOLIS GRAIN EXCHANGE, INC.

EXCHANGE DISCIPLINARY ACTION

FILE: 20-I-14

MEMBER: CHS Inc.

RULES & MGEX Rule 901.01. Information And Access To REGULATIONS: Records And Reports By The Minneapolis Grain

Records And Reports By The Minneapolis Grain Exchange and MGEX Regulation 1231.00. Stocks

Reporting Facilities.

FINDINGS: The MGEX Department of Audits and Investigations

determined CHS Inc. apparently violated the aforementioned MGEX Rule and Regulation for failure to submit an accurate Daily Stocks Report to the

Exchange by the 1 p.m. Central Time deadline.

SUMMARY

ACTION:

Warning Letter

CUSTOMER

HARM:

No

BARRABLE: No

PRODUCT: HRSW

FINAL DATE: 6/1/2020

EFFECTIVE DATE: 6/1/2020