

MINNEAPOLIS GRAIN EXCHANGE, INC.

EXCHANGE DISCIPLINARY ACTION

FILE: 19-I-24

MEMBER: Savage Riverport LLC

RULES & MGEX Rule 901.01. Information And Access To

Records And Reports By The Minneapolis Grain Exchange and MGEX Regulation 1231.00. Stocks

Reporting Facilities.

FINDINGS: The MGEX Department of Audits and Investigations

determined Savage Riverport LLC apparently violated the aforementioned MGEX Rule and Regulation for failure to submit an accurate Daily Stocks Report to the

Exchange by the 1 p.m. Central Time deadline.

SUMMARY

REGULATIONS:

ACTION:

Warning Letter

CUSTOMER

HARM:

No

BARRABLE: No

PRODUCT: HRSW

FINAL DATE: 10/16/2019

EFFECTIVE DATE: 10/16/2019