



**MINNEAPOLIS GRAIN EXCHANGE, INC.**

**EXCHANGE DISCIPLINARY ACTION**

**FILE:** 19-I-12

**MEMBER:** J.P. Morgan Securities LLC

**REGULATIONS & RESOLUTIONS:** **MGEX Regulation 1229.00. Financial Obligations and Resolution 2101.00.C.**

**FINDINGS:** The MGEX Department of Audits and Investigations determined J.P. Morgan Securities LLC apparently violated the aforementioned MGEX Regulation and Resolution for failure to pay the Intraday Variation before the 11:30 a.m. deadline.

**SUMMARY ACTION:** Warning Letter

**CUSTOMER HARM:** No

**BARRABLE:** No

**PRODUCT:** Not Applicable

**FINAL DATE:** July 22, 2019

**EFFECTIVE DATE:** July 22, 2019