



MINNEAPOLIS GRAIN EXCHANGE, INC.

EXCHANGE DISCIPLINARY ACTION

FILE: 18-l-19

MEMBER: Riverland Ag Corp.

RULES & REGULATIONS: **MGEX Rule 901.01. Information And Access To Records And Reports By The Minneapolis Grain Exchange and MGEX Regulation 1231.00. Stocks Reporting Facilities.**

FINDINGS: The MGEX Department of Audits and Investigations determined Riverland Ag. Corp. apparently violated the aforementioned MGEX Rule and Regulation for failure to submit Daily Stocks Reports to the Exchange by the 1 p.m. Central Time deadline.

SUMMARY ACTION: \$2,000 Fine

CUSTOMER HARM: No

BARRABLE: No

PRODUCT: HRSW

FINAL DATE: 9/12/2018

EFFECTIVE DATE: 9/12/2018