



MINNEAPOLIS GRAIN EXCHANGE, INC.

EXCHANGE DISCIPLINARY ACTION

FILE: 18-I-06

MEMBER: Bay State Milling Company

REGULATIONS: **MGEX Regulations 1228.00. Financial Statements and 2030.00. Minimum Financial Requirements For Entities With Cash Trading Privileges**

FINDINGS: The MGEX Department of Audits and Investigations determined Bay State Milling Company apparently violated the aforementioned MGEX Regulations by submitting interim financial statements two days after the deadline.

SUMMARY ACTION: Warning Letter and \$400.00 Fine

CUSTOMER HARM: No

BARRABLE: No

PRODUCT: Not Applicable

FINAL DATE: May 29, 2018

EFFECTIVE DATE: May 29, 2018