



MIAX Options Exchange Regulatory Circular 2026-03
MIAX Pearl Options Exchange Regulatory Circular 2026-03
MIAX Pearl Equities Exchange Regulatory Circular 2026-01
MIAX Emerald Options Exchange Regulatory Circular 2026-03
MIAX Sapphire Options Exchange Regulatory Circular 2026-03

DATE: **January 12, 2026**
TO: **MIAX Exchange Members**
FROM: **Member Services Department**
RE: **Electronic Filing of Annual Reports**

This notification is a reminder that, in connection with the Regulatory Services Agreement between the MIAX Exchange Group and FINRA, the filing of MIAX Options, MIAX Pearl Options and Equities, MIAX Emerald Options, MIAX Sapphire Options Rule 803 Audits annual reports have been transitioned to the electronic filing platform available via FINRA's Firm Gateway. FINRA's Firm Gateway (<https://firms.finra.org>) is a portal that provides consolidated access to various FINRA regulatory systems.

Other confidential or financial member firm filings may be sent to:

MembershipCORE@miaxglobal.com

FINRA Firm Gateway technical questions may be directed to FINRA's technical support group at (301) 869-6699.

Further questions may be directed to the Exchange's Membership Department at
MembershipCORE@miaxglobal.com.