

MIAX Sapphire Options Exchange Regulatory Circular 2025-70

DATE: September 5, 2025

TO: MIAX Sapphire Options Exchange Floor Participants

FROM: Member Services Department

RE: Reminder for Trading Floor Participants – Electronic Filing of Annual Reports

As described in <u>MIAX Sapphire Options Exchange Regulatory Circular 2025-04</u>, the filing of MIAX Sapphire Rule 803 Audits annual reports are done on the electronic filing platform available via FINRA's Firm Gateway. FINRA's Firm Gateway (https://firms.finra.org) is a portal that provides consolidated access to various FINRA regulatory systems.

Other confidential or financial member firm filings may be sent to:

MembershipCORE@miaxglobal.com

FINRA Firm Gateway technical questions may be directed to FINRA's technical support group at (301) 869-6699.

Further questions may be directed to the Exchange's Membership Department at Membership CORE@miaxglobal.com or (609) 423-8433.