

MIAX Pearl Options Exchange Regulatory Circular 2023-04

DATE: January 17, 2023

TO: MIAX Pearl Members

FROM: MIAX Regulatory Department

RE: Market for Underlying Security Used for Openings on MIAX Pearl Options for Newly Listed Symbols Effective Wednesday, January 18, 2023.

The following symbols will start trading on MIAX Pearl Options beginning Wednesday, January 18, 2023. As set forth in MIAX Pearl Rule 503(a)(3), MIAX Pearl Options shall use the exchange identified below as the “market for the underlying security” for the purpose of Openings on the Exchange.

| SECURITY SYMBOL | SECURITY NAME | EXCHANGE FOR OPENING |
|-----------------|---|----------------------|
| ACLX | ARCELLX, INC. | NASDAQ |
| BKCC | BLACKROCK CAPITAL INVESTMENT CORPORATION | NASDAQ |
| BNDD | KRANESHARES QUADRATIC DEFLATION ETF | NYSE Arca |
| BSMX | BANCO SANTANDER MEXICO, S, A. | NYSE |
| DDS | DILLARD'S INC | NYSE |
| DRS | LEONARDO DRS, INC. | NASDAQ |
| GRIN | GRINDROD SHIPPING HOLDINGS LTD. | NASDAQ |
| HYMB | SPDR NUVEEN BLOOMBERG HIGH YIELD MUNICIPAL BOND ETF | NYSE Arca |
| MBLY | MOBILEYE GLOBAL, INC. | NASDAQ |
| NECB | NORTHEAST COMMUNITY BANCORP INC | NASDAQ |
| NFGC | NEW FOUND GOLD | AMEX |
| NGS | NATURAL GAS SERVICES GROUP | NYSE |
| NOTV | INOTIV, INC. | NASDAQ |
| ONEQ | FIDELITY NASDAQ COMPOSITE INDEX ETF | NASDAQ |
| ONLN | PROSHARES ONLINE RETAIL ETF | NYSE Arca |
| PVBC | PROVIDENT BANCORP INC | NASDAQ |

| SECURITY SYMBOL | SECURITY NAME | EXCHANGE FOR OPENING |
|-----------------|-----------------------|----------------------|
| RLI | RLI CORPORATION | NYSE |
| RXO | RXO, INC. | NYSE |
| TARK | AXS 2X INNOVATION ETF | NASDAQ |
| TTM | TATA MOTORS LTD. | NYSE |
| UHAL | AMERCO | NYSE |
| UXIN | UXIN LTD | NASDAQ |
| VNRX | VOLITIONRX LIMITED | AMEX |

Please direct questions to the Regulatory Department at Regulatory@MIAXOptions.com or (609) 897-7309.