

MIAX Options Exchange Regulatory Circular 2023-02
MIAX Pearl Options Exchange Regulatory Circular 2023-02
MIAX Emerald Options Exchange Regulatory Circular 2023-03
MIAX Pearl Equities Exchange Regulatory Circular 2023-02

DATE: January 9, 2023
TO: MIAX Exchange Group Members
FROM: Member Services Department
RE: Electronic Filing of Annual Reports

This notification is a reminder that, in connection with the Regulatory Services Agreement between the Exchange and FINRA, the filing of MIAX Options, MIAX Pearl and/or MIAX Emerald Rule 803 Audits annual report has been transitioned to the electronic filing platform available via FINRA's Firm Gateway. FINRA's Firm Gateway (<https://firms.finra.org>) is a portal that provides consolidated access to various FINRA regulatory systems.

Other confidential or financial member firm filings may be sent to:

MemberServices@miami-holdings.com

FINRA Firm Gateway technical questions may be directed to FINRA's technical support group at (301) 869-6699.

Further questions may be directed to the Exchange's Membership Department at MemberServices@miami-holdings.com or (609) 423-8433.