

MIAX Options Exchange Regulatory Circular 2022-71
MIAX Pearl Options Exchange Regulatory Circular 2022-60
MIAX Emerald Options Exchange Regulatory Circular 2022-64
MIAX Pearl Equities Exchange Regulatory Circular 2022-14

DATE: November 16, 2022

TO: MIAX Exchange Members

FROM: MIAX Regulatory Department

RE: FINRA Proposal to Amend the Codes of Arbitration Procedure to Modify the Current Process Relating to the Expungement of Customer Dispute Information

FINRA filed a proposed rule change with the Securities and Exchange Commission to modify the current process relating to the expungement of customer dispute information.

For more information on the proposed rule change, see [2022-24959.pdf \(govinfo.gov\)](#).

MIAX Exchanges are required by rule to inform Members of rule changes to FINRA's arbitration rules published by the Securities and Exchange Commission.

Regulatory inquiries should be directed to Regulatory@MIAXOptions.com or (609) 897-7309.