

MIAX Options Exchange Regulatory Circular 2022-50
MIAX Pearl Options Exchange Regulatory Circular 2022-45
MIAX Emerald Options Exchange Regulatory Circular 2022-47
MIAX Pearl Equities Exchange Regulatory Circular 2022-06

DATE: August 15, 2022

TO: MIAX Exchange Members

FROM: MIAX Regulatory Department

RE: Notice of Filing of a Proposed Rule Change to Amend the Codes of Arbitration Procedure to Modify the Current Process Relating to the Expungement of Customer Dispute Information

FINRA is proposing to amend the Code of Arbitration Procedure for Customer Disputes (“Customer Code”) and the Code of Arbitration Procedure for Industry Disputes (“Industry Code”) (together, “Codes”) to modify the current process relating to the expungement of customer dispute information.

For more information on the proposed rule change, see [2022-17430.pdf \(govinfo.gov\)](#).

MIAX Exchanges are required by rule to inform Members of rule changes to FINRA’s arbitration rules published by the Securities and Exchange Commission.

Regulatory inquiries should be directed to Regulatory@MIAXOptions.com or (609) 897-7309.