

MIAX Pearl Options Exchange Regulatory Circular 2021-15

DATE: April 8, 2021

TO: MIAX Pearl Members

FROM: MIAX Regulatory Department

RE: Market for Underlying Security Used for Openings on MIAX Pearl Options for Newly Listed Symbols Effective Friday, April 9, 2021

The following symbols will start trading on MIAX Pearl Options beginning Friday, April 9, 2021. As set forth in MIAX Pearl Rule 503(a)(3), MIAX Pearl Options shall use the exchange identified below as the “market for the underlying security” for the purpose of Openings on the Exchange.

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
ADN	ADVENT TECHNOLOGIES HOLDINGS, INC.	NASDAQ
ADTX	ADITX THERAPEUTICS, INC.	NASDAQ
AFI	ARMSTRONG FLOORING, INC.	NYSE
AGC	ALTIMETER GROWTH CORP.	NASDAQ
AGCB	ALTIMETER GROWTH CORP.	NYSE
AKRO	AKERO THERAPEUTICS, INC.	NASDAQ
ALGM	ALLEGRO MICROSYSTEMS, INC.	NASDAQ
APEN	APOLLO ENDOSURGERY, INC.	NASDAQ
ASTS	AST SPACE MOBILE, INC.	NASDAQ
ASYS	AMTECH SYSTEMS, INC.	NASDAQ
AVCT	AMERICAN VIRTUAL CLOUD TECHNOLOGIES, INC.	NASDAQ
AWAY	ETFMG TRAVEL TECH ETF	NYSE Arca
AZUL	AZUL S.A.	NYSE
BBIG	VINCO VENTURES, INC.	NASDAQ
BQ	BOQII HOLDING LIMITED SPONSORED ADR	NYSE
BRF	VANECK VECTORS BRAZIL SMALL-CAP ETF	NYSE Arca
BRMK	BROADMARK REALTY CAPITAL, INC.	NYSE

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
BSIG	BRIGHTSPHERE INVESTMENT GROUP, INC.	NYSE
BTAQ	BURGUNDY TECHNOLOGY ACQUISITION CORP.	NASDAQ
BUZZ	VANECK VECTORS SOCIAL SENTIMENT ETF	NYSE Arca
BWAC	BETTER WORLD ACQUISITION CORP.	NASDAQ
CAPA	HIGHCAPE CAPITAL ACQUISITION CORP.	NASDAQ
CCAC	CITIC CAPITAL ACQUISITION CORP.	NYSE
CDXC	CHROMADEX CORPORATION	NASDAQ
CFAC	CF FINANCE ACQUISITION CORP.	NASDAQ
CHMA	CHIASMA, INC.	NASDAQ
CLII	CLIMATE CHANGE CRISIS	NYSE
CMLF	CM LIFE SCIENCES, INC.	NASDAQ
CMPS	COMPASS PATHWAYS PLC	NASDAQ
CNYA	ISHARE MSCI CHINA A ETF	BATS
CO	GLOBAL CORD BLOOD CORPORATION	NYSE
CONX	CONX CORP.	NASDAQ
CPSH	CPS TECHNOLOGIES CORPORATION	NASDAQ
CRSA	CRESCENT ACQUISITION CORP.	NASDAQ
DAO	YOUDAO, INC.	NYSE
DMYD	DMY TECHNOLOGY GROUP	NYSE
DMYI	DMY TECHNOLOGY GROUP, INC. III	NYSE
DRIV	GLOBAL X AUTONOMOUS & ELECTRIC VEHICLES ETF	NASDAQ
DXYN	DIXIE GROUP, INC.	NASDAQ
EARS	AURIS MEDICAL HOLDING LTD.	NASDAQ
EC	ECOPETROL S.A.	NYSE
EPM	EVOLUTION PETROLEUM CORPORATION	AMEX
EQOS	DIGINEX LIMITED	NASDAQ
EVGN	EVOGENE LTD.	NASDAQ
EXPC	EXPERIENCE INVESTMENT CORP.	NASDAQ
FAIL	FORTRESS VALUE ACQUISITION CORP. II	NYSE
FDUS	FIDUS INVESTMENT CORPORATION	NASDAQ
FMAC	FIRSTMARK HORIZON ACQUISITION CORP.	NYSE
FPAC	FAR PEAK ACQUISITION CORP.	NYSE
FRBK	REPUBLIC FIRST BANCORP, INC.	NASDAQ
FRSX	FORESIGHT AUTONOMOUS HOLDINGS LTD.	NASDAQ
FSRV	FINSERV ACQUISITION CORP.	NASDAQ

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
FUSE	FUSION ACQUISITION CORP.	NYSE
GNLN	GREENLANE HOLDINGS, INC.	NASDAQ
GNRS	GREENROSE ACQUISITION CORP.	NASDAQ
GOL	GOL LINHAS AEREAS INTELIGENTIES S.A.	NYSE
HAAC	HEALTH ASSURANCE ACQUISITION CORP.	NASDAQ
HGEN	HUMANIGEN, INC.	NASDAQ
HOL	HOLICITY, INC.	NASDAQ
HYFM	HYDROFARM HOLDINGS GROUP, INC.	NASDAQ
HYMC	HYCROFT MINING HOLDING	NASDAQ
HZON	HORIZON ACQUISITION CORP. II	NYSE
IMH	IMPAC MORTGAGE HOLDINGS, INC.	AMEX
IRBO	ISHARES ROBO AND ARTIFICIAL INTEL MULTISECTOR ETF	NYSE Arca
IZEA	IZEA WORLDWIDE, INC.	NASDAQ
LESL	LESLIES, INC.	NASDAQ
LODE	COMSTOCK MINING, INC.	AMEX
MLSS	MILESTONE SCIENTIFIC, INC.	AMEX
MOGO	MOGO, INC.	NASDAQ
MREO	MEREO BIOPHARMA GROUP PLC SPONSORED ADR	NASDAQ
NCTY	THE9 LTD. SPONSORED ADR	NASDAQ
NGAC	NEXTGEN ACQUISITION CORP.	NASDAQ
NXE	NEXGEN ENERGY LTD.	AMEX
OCDX	ORTHO CLINICAL DIAGNOSTICS	NASDAQ
OCGN	OCUGEN, INC.	NASDAQ
PACE	TPG PACE TECH OPPORTUNITY	NYSE
PAE	PAE INCORPORATED	NASDAQ
PARR	PAR PACIFIC HOLDINGS, INC.	NYSE
PAVM	PAVMED, INC.	NASDAQ
PDAC	PERIDOT ACQUISITION CORP.	NYSE
PHIO	PHIO PHARMACEUTICALS CORP.	NASDAQ
PIPP	PINE ISLAND ACQUISITION CORP.	NYSE
POTX	GLOBAL XCANNABIS ETF	NASDAQ
PRPB	CC NEUBERGER PRINCIPAL HOLDINGS II	NYSE
PTMN	PORTMAN RIDGE FINANCE CORPORATION	NASDAQ
PXJ	INVESCO DYNAMIC OIL & GAS SERVICES ETF	NYSE Arca
RBAC	REDBALL ACQUISITION CORP.	NYSE

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
RTP	REINVENT TECHNOLOGY	NYSE
RWLK	REWALK ROBOTICS LTD.	NASDAQ
SEEL	SEELOS THERAPEUTICS, INC.	NASDAQ
SINO	SINO-GLOBAL SHIPPING AMERICA, LTD.	NASDAQ
SOAC	SUSTAINABLE OPPORTUNITIES ACQUISITION CORPORATION	NYSE
SPKE	SPARK ENERGY, INC.	NASDAQ
SPRQ	SPARTAN ACQUISITION CORP. II	NYSE
SRGA	SURGALIGN HOLDINGS, INC.	NASDAQ
SSPK	SILVER SPIKE ACQUISITION CORP.	NASDAQ
STXS	STEREOTAXIS, INC.	AMEX
SUBZ	ROUNDHILL STREAMING SERVICES & TECHNOLOGY ETF	NYSE Arca
SUP	SUPERIOR INDUSTRIES INT	NYSE
SVAC	STARBOARD VALUE ACQUISITION	NASDAQ
TBA	THOMA BRAVO ADVANTAGE	NYSE
TBK	TRUMPH BANCORP, INC.	NASDAQ
THCA	TUSCAN HOLDINGS CORP. II	NASDAQ
THCB	TUSCAN HOLDINGS CORP.	NASDAQ
TTI	TETRA TECHNOLOGIES, INC.	NYSE
TTT	PROSHARES ULTRAPRO SHORT 20+ YEAR TREASURY	NYSE Arca
TYME	TYME TECHNOLOGIES, INC.	NASDAQ
UAVS	AGEAGLE AERIAL SYSTEM INC.	AMEX
VISL	VISLINK TECHNOLOGIES, INC.	NASDAQ
VRTV	VERITIV CORPORATION	NYSE
WIFI	BOINGO WIRELESS, INC.	NASDAQ
WOW	WIDEOPENWEST, INC.	NYSE
WPF	FOLEY TRASIMENE ACQUISITION CORP.	NYSE
XAIR	BEYOND AIR, INC.	NASDAQ
XM	QUALTRICS INTERNATIONAL	NASDAQ
ZNTE	ZANITE ACQUISITIONS CORP.	NASDAQ

Please direct questions to the Regulatory Department at Regulatory@MIAXOptions.com or (609) 897-7309.