

MIAX Pearl Equities Exchange Regulatory Circular 2024-02

DATE: January 17, 2024

TO: MIAX Pearl Equities Members

FROM: MIAX Regulatory Department

RE: Updated Implementation Dates for Changes to Certain Risk Controls on MIAX Pearl Equities

This Regulatory Circular informs MIAX Pearl Equities Exchange Members that the Exchange will modify the implementation schedule to enhance certain risk controls available on the Exchange's equity trading platform.

- The additional Self Trade Protection identifier will be available beginning Monday, February 26, 2024
- The Limit Order Price Protection and Trading Collar changes will be implemented on the following schedule:
 - 1. Wednesday, February 14, 2024: Cloud 23 Symbols beginning with Y and Z
 - 2. Thursday, February 15, 2024: Clouds 1 to 11 Symbols beginning with A through M
 - 3. Friday, February 16, 2024: Clouds 12 to 22 Symbols beginning with N through X except Symbol SPY; Cloud 24 Symbol SPY

Please refer to MIAX Pearl Equities RC 2024-01 for details on the changes to the specific risk controls.

Complete details regarding the changes can be found in the Exchange's rule filings, <u>SR-PEARL-2023-48</u> and <u>SR-PEARL-2023-58</u>.

Contact MIAX Trading Operations at <u>TradingOperations@miaxglobal.com</u> or (609) 897-7302 with any questions regarding the amendment.

Regulatory inquiries should be directed to Regulatory@miaxglobal.com or (609) 897-7309.