

DATE: November 14, 2017

TO: MIAX PEARL Members

FROM: MIAX PEARL Membership Department

RE: Electronic Filing of Annual Reports

This notification is a reminder that, in connection with the Regulatory Services Agreement between the Exchange and FINRA, the filing of MIAX PEARL Rule 803 Audits annual report has been transitioned to the electronic filing platform available via FINRA's Firm Gateway. FINRA's Firm Gateway (<https://firms.finra.org>) is a portal that provides consolidated access to various FINRA regulatory systems.

Other confidential or financial member firm filings may be sent to:

MemberServices@miami-holdings.com

FINRA Firm Gateway technical questions may be directed to FINRA's technical support group at (301) 869-6699.

Further questions may be directed to the Exchange's Membership Department at MemberServices@miami-holdings.com or (609) 897-1479.