

MIAX Options Exchange Regulatory Circular 2024-33
MIAX Pearl Options Exchange Regulatory Circular 2024-32
MIAX Emerald Options Exchange Regulatory Circular 2024-34
MIAX Pearl Equities Exchange Regulatory Circular 2024-09

DATE: May 28, 2024

TO: MIAX Exchange Members

FROM: MIAX Regulatory Department

RE: **Proposed Rule Change to Amend FINRA Rule 12800 (Simplified Arbitration) to Clarify and Amend the Applicability of the Document Production Lists**

The proposed rule change would amend FINRA Rule 12800(g)(1) to give customers in paper cases and special proceedings the option to elect at the time that they initiate an arbitration or, if they are a respondent, no later than the answer due date, whether they want the Document Production Lists to apply to all parties.

For more information on the proposed rule change, see [2024-11584.pdf \(govinfo.gov\)](#).

MIAX Exchanges are required by rule to inform Members of rule changes to FINRA's arbitration rules published by the Securities and Exchange Commission.

Direct Regulatory inquiries to Regulatory@miaxglobal.com or (609) 897-7309.