

MIAX Options Exchange Regulatory Circular 2023-26

DATE: May 18, 2023

TO: MIAX Options Members

FROM: MIAX Regulatory Department

RE: Market for Underlying Security Used for Openings on MIAX Options for Newly Listed Symbol AULT1 Effective Friday, May 19, 2023.

The following symbol will start trading on MIAX Options beginning Friday, May 19, 2023. As set forth in MIAX Options Rule 503(d) MIAX Options shall use NYSE American (“AMEX”) as the “market for the underlying security” for the purpose of Openings on the Exchange.

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
AULT1	AULT ALLIANCE, INC.	AMEX

Please direct questions to the Regulatory Department at Regulatory@miaxglobal.com or (609) 897-7309.