

MIAX Options Exchange Regulatory Circular 2023-04

DATE: January 17, 2023

TO: MIAX Options Members

FROM: MIAX Regulatory Department

RE: Market for Underlying Security Used for Openings on MIAX Options for Newly Listed Symbols Effective Wednesday, January 18, 2023.

The following symbols will start trading on MIAX Options beginning Wednesday, January 18, 2023. As set forth in MIAX Options Rule 503(d) MIAX Options shall use the exchange identified below as the “market for the underlying security” for the purpose of Openings on the Exchange.

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
ACLX	ARCELLX, INC.	NASDAQ
AZO	AUTOZONE INC.	NYSE
BKCC	BLACKROCK CAPITAL INVESTMENT CORPORATION	NASDAQ
BNDD	KRANESHARES QUADRATIC DEFLATION ETF	NYSE Arca
BSMX	BANCO SANTANDER MEXICO, S, A.	NYSE
DDS	DILLARD'S INC	NYSE
DRS	LEONARDO DRS, INC.	NASDAQ
GRIN	GRINDROD SHIPPING HOLDINGS LTD.	NASDAQ
HYMB	SPDR NUVEEN BLOOMBERG HIGH YIELD MUNICIPAL BOND ETF	NYSE Arca
MBLY	MOBILEYE GLOBAL, INC.	NASDAQ
NECB	NORTHEAST COMMUNITY BANCORP INC	NASDAQ
NFGC	NEW FOUND GOLD	AMEX
NGS	NATURAL GAS SERVICES GROUP	NYSE
NOTV	INOTIV, INC.	NASDAQ
ONEQ	FIDELITY NASDAQ COMPOSITE INDEX ETF	NASDAQ
ONLN	PROSHARES ONLINE RETAIL ETF	NYSE Arca

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
PVBC	PROVIDENT BANCORP INC	NASDAQ
RLI	RLI CORPORATION	NYSE
RXO	RXO, INC.	NYSE
TARK	AXS 2X INNOVATION ETF	NASDAQ
TTM	TATA MOTORS LTD.	NYSE
UHAL	AMERCO	NYSE
UXIN	UXIN LTD	NASDAQ
VNRX	VOLITIONRX LIMITED	AMEX

Please direct questions to the Regulatory Department at Regulatory@MIAXOptions.com or (609) 897-7309.