

MIAX Options Exchange Regulatory Circular 2022-31
MIAX Pearl Options Exchange Regulatory Circular 2022-30
MIAX Emerald Options Exchange Regulatory Circular 2022-28
MIAX Pearl Equities Exchange Regulatory Circular 2022-01

DATE: May 27, 2022

TO: MIAX Exchange Members

FROM: MIAX Regulatory Department

RE: Proposed Rule Change to Amend FINRA Rule 13000 Series, Code of Arbitration Procedure for Industry Disputes, To Align the Code with the Ending Forced Arbitration of Sexual Assault and Sexual Harassment Act of 2021

FINRA is proposing to amend the Code of Arbitration Procedure for Industry Disputes (“Code”) to align the Code with the Ending Forced Arbitration of Sexual Assault and Sexual Harassment Act of 2021. The proposed rule change would also make a conforming amendment to FINRA Rule 2263.

The Federal Register Notice for this proposed rule change can be found at <https://www.govinfo.gov/content/pkg/FR-2022-05-24/pdf/2022-11062.pdf>

MIAX Exchanges are required by rule to inform Members of rule changes to FINRA’s arbitration rules published by the Securities and Exchange Commission.

Regulatory inquiries should be directed to Regulatory@MIAXOptions.com or (609) 897-7309.