



**DATE:** June 4, 2020

**TO:** MIAX Exchange Members

**FROM:** MIAX Exchange Regulatory Department

**RE:** **Approved Rule Change to Amend the FINRA Code of Arbitration Procedure for Customer Disputes and the FINRA Code of Arbitration Procedure for Industry Disputes To Apply Minimum Fees to Requests for Expungement of Customer Dispute Information**

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The Securities and Exchange Commission has approved an amendment to FINRA's Code of Arbitration Procedure for Customer Disputes and Code of Arbitration Procedure for Industry Disputes to apply minimum fees to requests for the expungement of customer dispute information.

The Federal Register Notice for this approved rule change can be found at:  
<https://www.govinfo.gov/content/pkg/FR-2020-06-01/pdf/2020-11650.pdf>.

MIAX Exchanges are required by rule to inform Members of rule changes to FINRA's arbitration rules published by the Securities and Exchange Commission.

Regulatory inquiries should be directed to [Regulatory@MIAXOptions.com](mailto:Regulatory@MIAXOptions.com) or (609) 897-7309.