



MIAX Options Regulatory Circular 2020-15
MIAX PEARL Regulatory Circular 2020-10
MIAX Emerald Regulatory Circular 2020-12

DATE: March 30, 2020

TO: MIAX Exchange Group Members

FROM: MIAX Membership Department

RE: MIAX Rule 1308 Annual Reports – Filing Extension

Due to the current Coronavirus (COVID-19) pandemic, the MIAX Exchange Group (“MIAX”) is proposing to provide temporary relief to member firms for filing of annual reports subject to its Rule 1308 – Supervision of Accounts, subject to a filing with the SEC. MIAX proposes to provide temporary relief for members from the Supervision Reporting Requirements by extending the April 1, 2020 filing deadlines described in Rule 1308 to June 1, 2020. The extension granted does not apply beyond the identified rule and its requirement(s). MIAX will continue to assess the fluidity of the health situation to determine whether additional relief may be granted. MIAX will publish a Regulatory Notice announcing a termination date for the regulatory relief which will provide member firms ample time to make needed operational modifications. As COVID-19-related risks abate, member firms will be required to return to fulfilling any regulatory obligations for which a temporary filing deadline waiver has been provided.

Complete details regarding the temporary filing relief can be found in the Exchange’s rule filing https://www.miaxoptions.com/sites/default/files/filing-files/SR_MIAX_2020_06.pdf

Further questions may be directed to the Exchange’s Membership Department at MemberServices@miami-holdings.com or (609) 897-1479.