MIAX Options Regulatory Circular 2018-69



DATE: December 7, 2018

- TO: MIAX Options Members
- FROM: MIAX Options Regulatory Department
- RE: Market for Underlying Security used for Openings on MIAX Options for Symbol NWL Effective Tuesday, December 11, 2018

Newell Brands, Inc. ("NWL") will transfer primary listing from the New York Stock Exchange to the NASDAQ Global Select Market ("NASDAQ") effective Tuesday, December 11, 2018, and, as set forth in MIAX Options Rule 503(d), MIAX Options shall use NASDAQ as the "market for the underlying security" for purposes of Openings on the Exchange.

| Underlying Symbol | Security Name | Exchange for Opening |
|-------------------|---------------------|----------------------|
| NWL | Newell Brands, Inc. | NASDAQ |

Please direct any questions to the Regulatory Department at <u>Regulatory@MIAXOptions.com</u> or (609) 897-7309.