



DATE: February 22, 2018
TO: MIAX Options Members
FROM: MIAX Options Regulatory Department
SUBJECT: Rule Change Notice - FINRA Arbitration Rules 12600, 12800, 13600 and 13800

FINRA is proposing to amend FINRA Rules 12600 and 12800 of the Code of Arbitration Procedure for Customer Disputes and FINRA Rules 13600 and 13800 of the Code of Arbitration Procedure for Industry Disputes to amend the hearing provisions to provide an additional hearing option for parties in arbitration with claims of \$50,000 or less, excluding interest and expenses. The Federal Register Notice for this proposed rule change can be found at the following location:

<https://www.gpo.gov/fdsys/pkg/FR-2018-02-16/pdf/2018-03202.pdf>.

MIAX Options Rule 1107, Arbitration, incorporates by reference the rules of FINRA relating to arbitration of disputes. In accordance with the incorporation by reference of FINRA's arbitration rules, MIAX Options is required to inform its Members of rule changes to FINRA's arbitration rules published by the Securities and Exchange Commission.

Please direct any questions to the Regulatory Department at (609) 897-7309 or Regulatory@MIAXOptions.com.