

MIAX Options Exchange Regulatory Circular 2021-05
MIAX Pearl Options Exchange Regulatory Circular 2021-03
MIAX Emerald Options Exchange Regulatory Circular 2021-03

DATE: January 19, 2021

TO: MIAX Options, MIAX Pearl and MIAX Emerald Members

FROM: MIAX Exchange Group Regulatory Department

RE: Amendment to Rule 1308, Supervision of Accounts, to Adopt Temporary Rules to Extend the Time by Which Members Must Complete Their Branch Office Inspections for Calendar Year 2020 and Provide Temporary Remote Inspection Relief for Office Inspections

This Regulatory Circular is to inform MIAX Options, MIAX Pearl and MIAX Emerald Members that a proposed rule change to amend all three Exchanges' Rule 1308, Supervision of Accounts, will become effective on February 08, 2021, pursuant to a notice recently issued by the Securities and Exchange Commission ("SEC").

Rule 1308(d), for all three exchanges, will provide for temporary rules that extend the time by which Members must complete their branch office inspections for the calendar year 2020 and for temporary remote inspection relief for their office inspections for calendar years 2020 and 2021.

MIAX Options' Rule 1308, as amended, is incorporated by reference into the MIAX Pearl and MIAX Emerald Rule Books, and thus are MIAX Pearl and MIAX Emerald Rules applicable to MIAX Pearl and MIAX Emerald Members. MIAX Pearl and MIAX Emerald Members are required to comply with MIAX Options Rule 1308, as amended, as though such rule was fully-set forth in the MIAX Pearl and MIAX Emerald Rule Books.

MIAX Options, MIAX Pearl and MIAX Emerald encourage all Members to review these changes in their entirety, which can be found in the rule filing, [SR-MIAX-2021-01](#).

Contact MIAX Trading Operations at TradingOperations@MIAOptions.com or (609) 897-7302 with any questions about the changes.

Regulatory inquiries should be directed to Regulatory@MIAOptions.com or (609) 897-7309.