

DATE: February 28, 2019

TO: MIAX Emerald Members

FROM: MIAX Emerald Regulatory Department

RE: Obvious Error and Appeal Submission of Notification

Pursuant to MIAX Emerald Rule 521(c)(2), Time Deadline, a party that believes that it participated in a transaction that was the result of an Obvious Error must submit a notification to MIAX Emerald Regulatory Control at https://www.miaxoptions.com/regulatory-forms/emerald.

Pursuant to MIAX Emerald Rule 521(I), Appeal, if an affected party appeals an Official decision under this Rule within the time permitted, the affected party must submit a notification to MIAX Emerald Regulatory Control at https://www.miaxoptions.com/regulatory-forms/emerald.

Regulatory questions may be directed to Regulatory@MIAXOptions.com or (609) 897-7309.