

MIAX Emerald Options Exchange Regulatory Circular 2023-60

DATE: November 21, 2023

TO: MIAX Emerald Members

FROM: MIAX Regulatory Department

RE: Market for Underlying Security Used for Openings on MIAX Emerald Options for Newly Listed Symbols Effective Wednesday, November 22, 2023.

The following symbols will start trading on MIAX Emerald Options beginning Wednesday, November 22, 2023. As set forth in MIAX Emerald Rule 503(d), MIAX Emerald shall use the exchange identified below as the “market for the underlying security” for the purpose of Openings on the Exchange.

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
ABAT	AMERICAN BATTERY TECHNOLOGY COMPANY	NASDAQ
ACXP	ACURX PHARMACEUTICALS INC.	NASDAQ
AMAM	AMBRX BIOPHARMA INC.	NASDAQ
BLZE	BACKBLAZE INC.	NASDAQ
IRIX	IRIDEX CORP	NASDAQ
KLG	WK KELLOGG CO.	NYSE
LAAC	LITHIUM AMERICAS (ARGENTINA) CORP.	NYSE
LTPZ	PIMCO ETF TRUST	NYSE Arca
MODV	MODIVCARE INC CL A	NASDAQ
MOR	MORPHOSYS AG, MARTINSRIED	NASDAQ
SPSC	SPS COMMERCE INC.	NASDAQ
TPST	TEMPEST THERAPEUTICS INC.	NASDAQ
TUA	SIMPLIFY EXCHANGE TRADED FUNDS	NYSE Arca

Please direct questions to the Regulatory Department at Regulatory@mioxglobal.com or (609) 897-7309.