



MIAX FUTURES EXCHANGE, LLC

EXCHANGE DISCIPLINARY ACTION

FILE:	24-I-03
ENTITY:	StoneX Financial Inc.
RULES:	MIAX Futures Rules 2.3.6. Reporting Requirements And Disciplinary Action, 3.1.5. Offsets And Transfer Trades, 1227.00. Trading Session Submissions and MIAX Futures Resolution 2101.00.C.
FINDINGS:	The MIAX Futures Exchange, LLC Disciplinary Committee ("Committee") charged StoneX Financial Inc. with violating the aforementioned Rules and Resolution by offsetting May 2024 futures positions without the benefit of trade activity during the prohibition period. Additionally the Committee found that during the December 2024 delivery period StoneX reported inaccurate acquisition dates on long positions reported to the MIAX Futures Clearing House.
DISCIPLINARY ACTION:	\$50,000
CUSTOMER HARM:	No
BARRABLE:	No
PRODUCT:	Minneapolis Hard Red Spring Wheat futures
FINAL DATE:	August 19, 2025
EFFECTIVE DATE:	August 19, 2025

MIAX FUTURES EXCHANGE, LLC

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