



**THE FOUNDATION  
FOR SECURE  
MARKETS**

**#43498**

**DATE: AUGUST 9, 2018**

**SUBJECT: SIGMA DESIGNS, INC. – CASH DISTRIBUTION**  
**OPTION SYMBOL: SIGM**  
**NEW OPTION SYMBOL: SIGM1**  
**DATE: 8/15/18**

Sigma Designs, Inc. (SIGM) has announced an initial liquidating distribution of \$6.00 per SIGM Common Share. The record date is July 31, 2018; payable date is August 14, 2018. The ex-distribution date for this distribution will be August 15, 2018.

**OPTIONS CONTRACT ADJUSTMENT**

**EFFECTIVE DATE:** August 15, 2018

**NEW MULTIPLIER:** 100 (e.g., for premium extensions a premium of 1.50 equals \$150; a strike of 5.00 yields \$500.00).

**CONTRACT MULTIPLIER:** 1

**STRIKE PRICES:** No Change

**OPTION SYMBOL:** SIGM changes to SIGM1

**DELIVERABLE PER CONTRACT:**  
1) 100 Sigma Designs, Inc. (SIGM) Common Shares  
2) \$600.00 Cash (\$6.00 x 100)

**CUSIP:** 826565103

**PRICING**

The underlying price for SIGM1 will be determined as follows:

$$\text{SIGM1} = \text{SIGM} + 6.00$$

**DISCLAIMER**

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to

investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by a panel of The OCC Securities Committee pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The adjustment panel is comprised of representatives from OCC and each exchange which trades the affected option. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

**ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.**

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email [investorservices@theocc.com](mailto:investorservices@theocc.com). Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email [memberservices@theocc.com](mailto:memberservices@theocc.com).