



**THE FOUNDATION  
FOR SECURE  
MARKETS**

**#45869**

**DATE: OCTOBER 25, 2019**

**SUBJECT: RUDOLPH TECHNOLOGIES, INC. – CONTRACT ADJUSTMENT**  
**OPTION SYMBOL: RTEC**  
**NEW SYMBOL: ONTO1**  
**DATE: 10/28/19**

**Contract Adjustment**

DATE: October 28, 2019

OPTION SYMBOL: RTEC changes to ONTO1

STRIKE DIVISOR: 1

CONTRACTS MULTIPLIER: 1

NEW MULTIPLIER: 100 (e.g., a premium of 1.50 yields \$150; a strike of 30 yields \$3,000.00)

NEW DELIVERABLE PER CONTRACT: 1) 80 Onto Innovation Inc. (ONTO) Common Shares  
2) Cash in lieu of 0.42 fractional ONTO Common Shares

CUSIP: (New) 683344105

**PRICING**

Until the cash in lieu amount is determined, the underlying price for ONTO1 options will be determined as follows:

$$\text{ONTO1} = 0.8042 (\text{ONTO})$$

**DELAYED SETTLEMENT**

The ONTO component of the ONTO1 deliverable will settle through National Securities Clearing Corporation (NSCC). OCC will delay settlement of the cash portion of the ONTO1 deliverable until the cash in lieu of fractional ONTO shares is determined. Upon determination of the cash in lieu amount, OCC will require Put exercisers and Call assignees to deliver the appropriate cash amount.

## **BACKGROUND**

On October 24, 2019, Shareholders of Rudolph Technologies, Inc. (RTEC) voted concerning the proposed merger with Nanometrics, Inc. (NANO). The merger was approved and consummated on October 25, 2019. As a result, Nanometrics, Inc. will change its name and trading symbol to (New) Onto Innovation Inc. (ONTO) and each existing RTEC Common Share will be converted into the right to receive 0.8042 (New) Onto Innovation Inc. (ONTO) Common Shares. Cash will be paid in lieu of fractional ONTO shares.

## **DISCLAIMER**

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email [investorservices@theocc.com](mailto:investorservices@theocc.com). Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email [memberservices@theocc.com](mailto:memberservices@theocc.com).