



**THE FOUNDATION  
FOR SECURE  
MARKETS**

**#44659**

**DATE: MARCH 4, 2019**

**SUBJECT: PERNIX THERAPEUTICS HOLDINGS, INC. – SYMBOL CHANGE**  
**OPTION SYMBOL: PTX**  
**NEW SYMBOL: PTXTQ**  
**DATE: 3/5/19**

The Options Clearing Corporation (OCC) has been informed that Pernix Therapeutics Holdings, Inc. (PTX) will change its trading symbol to PTXTQ, effective March 5, 2019, due to the listing of the company on an OTC market. As a result, option symbol PTX will change to PTXTQ effective at the opening of business on March 5, 2019.

Strike prices and all other option terms will not change. Clearing Member input to OCC must use the new option symbol PTXTQ commencing March 5, 2019.

**DATE:** March 5, 2019

**OPTION SYMBOL:** PTX changes to PTXTQ

**UNDERLYING SECURITY:** PTX changes to PTXTQ

**CONTRACT MULTIPLIER:** 1

**STRIKE DIVISOR:** 1

**NEW MULTIPLIER:** 100

**DELIVERABLE PER CONTRACT:** 100 Pernix Therapeutics Holdings, Inc. (PTXTQ) Common Shares

**CUSIP:** 71426V306

**DISCLAIMER**

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email [investorservices@theocc.com](mailto:investorservices@theocc.com). Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email [memberservices@theocc.com](mailto:memberservices@theocc.com).