

#44565

DATE: FEBRUARY 13, 2019

SUBJECT: AXOVANT SCIENCES LTD.- SYMBOL CHANGE

OPTION SYMBOL: AXON NEW SYMBOL: AXGT

DATE: 2/14/19

The Options Clearing Corporation (OCC) has been informed that Axovant Sciences Ltd. (AXON) will change its trading symbol to AXGT. As a result, option symbol AXON will also change to AXGT effective at the opening of business on February 14, 2019.

Strike prices and all other option terms will not change. Clearing Member input to OCC must use the new option symbol AXGT commencing February 14, 2019.

DATE: February 14, 2019

OPTION SYMBOL: AXON changes to AXGT

UNDERLYING

SECURITY: AXON changes to AXGT

CONTRACT

MULTIPLIER: 1

STRIKE DIVISOR: 1

NEW MULTIPLIER: 100

DELIVERABLE

PER CONTRACT: 100 Axovant Sciences Ltd. (AXGT) Common Shares

CUSIP: G0750W104

DISCLAIMER

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The determination to adjust futures and the nature of any

adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

For questions regarding this memo, call Investor Services at 1-888-678-4667 or email investorservices@theocc.com. Clearing Members may contact Member Services at 1-800-544-6091 or, within Canada, at 1-800-424-7320, or email memberservices@theocc.com.