

Overview:

Thank you for your interest in Membership at the MIAX Futures Exchange. If you are applying to become an Onyx Direct Access Participant or a Clearing Member, please complete this survey and return it to the MIAX Futures Membership Department at MIAXFuturesMembership@miaxglobal.com. If you are only applying for the Preferred Rate Program, this form is not required. Please visit our Website and complete the PRP Application available there. Any questions may be directed to the Membership Department at the email provided above.

General Information:

Full Legal Entity Name:

CRD#:

NFA#:

Foreign ID#:

Website Address:

Principal Office Mailing Address:

City:

State:

Zip:

Country:

Name of Individual Making Application:

Title:

Phone:

Email:

Type of Membership (Select all that apply):

☐ Onyx Direct Access Participant

☐ Clearing Membership

Business Information:

Type of organization:

☐ Corporation

☐ Limited Liability Company

☐ Limited Partnership

☐ General Partnership

Other:

Please describe the types of activities Applicant engages in (e.g. futures and options customer business, proprietary trading, combination, clearing, etc.):

Membership Pre-Application Survey

Please list the MIAX Futures Exchange products that the Applicant currently trades and/or clears or intends to begin trading and/or clearing:

If applicable, list all capacities in which Applicant holds NFA registration(s):

If applicable, please state the Applicant's Designated Self-Regulatory Organization:

If applicant is a Broker-Dealer, please state the Applicant's Designated Examining Authority:

Contacts:

Trading Contact:

Name:	Title:
Phone:	Email:

Regulatory/Compliance Contact:

Name:	Title:
Phone:	Email:

Technology Contact:

Name:	Title:
Phone:	Email:

Risk Contact:

Name:	Title:
Phone:	Email: