

I. Introduction

This Supplemental Application must be completed by an Onyx Direct Access Participant (“ODAP”) prior to the ODAP trading a new product class on Onyx. ODAPs are automatically enrolled in the PRP for the new product class and will have access to preferential rates on proprietary trades when applicable. Submit Applications via email to the Membership Department at MIAXFuturesMembership@miaxglobal.com. The Exchange may request the ODAP to submit additional documentation in connection with this Supplemental Application as needed.

II. ODAP Overview

ODAP Name:

Name of Individual Submitting Request:

Title: Phone: Email:

Current Product Class: Commodities Financials

Request to Add New Product Class: Commodities Financials

Specify all new products ODAP will begin trading.

Financials

- Bloomberg 500 Index Futures
- Tini Bloomberg 500 Index Futures
- Tini Bloomberg 100 Index Futures

Commodities

- Minneapolis Hard Red Spring Wheat

III. Material Changes

Since submitting its original Application to become an ODAP, has the firm undergone material changes related to any of the following? If yes, please explain in the comment box below and, if applicable, provide supporting documentation as an attachment to this Application.

No change Change

1. Organizational Structure?
2. Disciplinary History?
3. Primary Firm Contacts?
4. Business and Operations?
5. Regulatory Changes?
6. Financial Status?
7. Audit Trail Arrangements?

IV. Preferred Rate Program Updates

ODAPs are automatically enrolled in the Preferred Rate Program (PRP), which gives the ODAP access to preferential rates on its proprietary trading activity. Note that each product class has its own fees and requirements associated with it. PRP rates are designated at the Onyx Trading Account Number level or the Clearing Account Number level as indicated below. Only proprietary trading activity is eligible for the PRP. Applicant should only list accounts below that it uses for proprietary trading on Onyx.

Applicants need only complete the table below for the product class that they are adding in connection with this Supplemental Application.

Financials

Please provide the applicable OCC Clearing Member and the Onyx trading account numbers below. If known, please provide your MPID, otherwise leave blank. Attach a continuation sheet if necessary.

OCC Clearing Member	MPID	Onyx Trading Account Numbers

Commodities

Please provide the applicable MIAX Futures Clearing Member(s) and the clearing account numbers below. If known, please provide your MPID, otherwise leave blank. Attach a continuation sheet if necessary.

MIAX Futures Clearing Member or FCM	MPID	Clearing Account Numbers

Clearing Member Contact Information

Please provide a contact at each of the Clearing Member(s) and OCC Clearing Members identified above that MIAX Futures can communicate with about your PRP status and accounts.

Clearing Member or FCM	Name	Email

V. Responsible Person(s)

Onyx Direct Access Participants must designate at least one responsible person who will be responsible for communication with the Exchange regarding Operator IDs in accordance with Rule 6.15.

If there is no change to the Responsible Person(s) on file with the Exchange, check the box below.

If the Responsible Person(s) will be different for the new product class, please provide the information requested below.

Name:

Title:

CRD #:

NFA#:

Phone:

Email:

Name:

Title:

CRD #:

NFA#:

Phone:

Email:

Applicant Supplemental Acknowledgment and Agreement

- (a) ODAP acknowledges that this Acknowledgment and Agreement is supplemental to and does not replace any existing Agreements related to its status as an ODAP.
- (b) ODAP hereby agrees that it will continue to be subject to the jurisdiction of the Exchange and will comply with and be bound by CFTC Regulations, Exchange Bylaws, Rules, policies, procedures, or other similar documentation, and all amendments thereto.
- (c) The ODAP acknowledges and agrees that all current and future trading activity of the ODAP will continue to conform to the requirements for such trading activity established by the Exchange. ODAP authorizes the Exchange to monitor ODAP's trading activity in MIAX Futures products to ensure compliance with the terms of ODAP status, this Application, and the MIAX Futures Rulebook.
- (d) The ODAP agrees to pay all dues, fees, assessments, and other charges in the manner and amount as shall from time to time be fixed by the Exchange in connection with this Supplemental Application.
- (e) ODAP agrees to participate in the MIAX Futures Preferred Rate Program ("PRP") for the new product class.
 - (i) ODAP acknowledges that it will not receive PRP Rates for the new product class until formal notice of approval from the Exchange.
 - (ii) ODAP agrees that it will comply with all Exchange Rules, policies, procedures, or other applicable requirements and any amendments thereto related to the PRP.
 - (iii) ODAP agrees to pay any PRP dues, fees, assessments, and other charges as described in the applicable fee schedule(s) in the manner and amount fixed by the Exchange from time to time in connection with this Supplemental Application.
 - (iv) ODAP authorizes the Exchange to monitor all PRP trading activity by the ODAP to ensure compliance with the terms and conditions of the applicable PRP.
- (f) Applicant authorizes the Exchange to obtain information that it deems necessary and appropriate in order to evaluate and process this Application. Applicant understands that any person or firm that obtains approval of this Supplemental Application through fraudulent representations or concealment, or by any material misstatement, or that otherwise provides inaccurate or incomplete information, shall be subject to Exchange disciplinary action and/or penalties including, but not limited to, suspension or expulsion of ODAP status pursuant to Exchange Rules.
- (g) ODAP agrees to promptly update its Supplemental Application materials if any of the information provided is or becomes inaccurate or incomplete after the date of submission of this Supplemental Application to the Exchange and prior to its approval.
- (h) ODAP agrees to ensure that this Supplemental Application and the activities conducted on the Exchange are lawfully done in accordance with the laws of the jurisdiction in which they are located. Should such a request be made unlawfully, the Exchange shall have the right to terminate such ODAP status.
- (i) ODAP agrees to notify the Exchange of any substantive change(s) to itself or its association with an affiliated firm, including but not limited to, all notice requirements outlined in MIAX Futures Rule 6.10.

ODAP:

Name:

Signature:

Title:

Date: