

I. Introduction

This Supplemental Application must be completed by a Member prior to the Member receiving preferential rates (when applicable) on proprietary trades in a new product class. Submit Applications via email to the Membership Department at membershipcore@miaxglobal.com. The Exchange may request the Member to submit additional documentation in connection with this Supplemental Application as needed.

II. Member Overview

Member Name:

Name of Individual Submitting Request:

Title: Phone: Email:

Current Membership: Commodities Member Financials Member

Request to Add Membership: Commodities Member Financials Member

Specify new products Member will begin trading.

III. Material Changes

Since submitting its original Application to become a Member (formerly “Preferred Rate Program Participant” or “PRP”), has the firm undergone material changes related to any of the following? If yes, please explain in the comment box below and, if applicable, provide supporting documentation as an attachment to this Application.

No change Change

1. Organizational Structure?
2. Disciplinary History?
3. Primary Firm Contacts?
4. Business and Operations?
5. Regulatory Changes?
6. Financial Status?

IV. Membership Account Updates

Please provide updated Member details below. Note that each product class has its own fees and requirements associated with it. Member rates are designated at the Onyx Trading Account Number level or the Clearing Account Number level as indicated below. Only proprietary trading activity is eligible for Member rates. Applicant should only list accounts below that it uses for proprietary trading on Onyx.

Applicants need only complete the table below for the Membership that they are adding in connection with this Supplemental Application.

Financials

Please provide the applicable OCC Clearing Member and the Onyx trading account numbers below. If known, please provide your MPID, otherwise leave blank. Attach a continuation sheet if necessary.

OCC Clearing Member	MPID	Onyx Trading Account Number

Commodities

Please provide the applicable MIAX Futures Clearing Member(s) and the clearing account numbers below. If known, please provide your MPID, otherwise leave blank. Attach a continuation sheet if necessary.

MIAX Futures Clearing Member or FCM	MPID	Clearing Account Numbers

Clearing Member Contact Information

Please provide a contact at each of the Clearing Member(s) and OCC Clearing Members identified above that MIAX Futures can communicate with about your Member status and accounts.

Clearing Member or FCM	Name	Email

V. Applicant Supplemental Acknowledgment and Agreement

- (a) Applicant acknowledges that this Acknowledgment and Agreement is supplemental to and does not replace any existing Agreements related to its status as a Member.
- (b) Applicant hereby agrees that it will continue to be subject to the jurisdiction of the Exchange and will comply with and be bound by CFTC Regulations, Exchange Bylaws, Rules, policies, procedures, or other similar documentation, and all amendments thereto.
- (c) Applicant acknowledges and agrees that all current and future trading activity of the Applicant will continue to conform to the requirements for such trading activity established by the Exchange. Applicant authorizes the Exchange to monitor Applicant's trading activity in MIAX Futures products to ensure compliance with terms of Membership, this Supplemental Application, and the MIAX Futures Rulebook.
- (d) The Applicant agrees to pay any dues, fees, assessments, and other charges as described in the fee scheduled in the manner and amount fixed by the Exchange from time to time. Applicant acknowledges that it will not receive Member rates for the new product class until formal notice of approval from the Exchange.
- (e) Applicant authorizes the Exchange to obtain information that it deems necessary and appropriate in order to evaluate and process this Application. Applicant understands that any person or firm that obtains approval of this Supplemental Application through fraudulent representations or concealment, or by any material misstatement, or that otherwise provides inaccurate or incomplete information, shall be subject but not limited to, suspension or expulsion from Membership pursuant to Exchange Rules.
- (f) Applicant agrees to promptly update its Supplemental Application materials if any of the information provided is or becomes inaccurate or incomplete after the date of submission of this Supplemental Application to the Exchange and prior to its approval.
- (g) Applicant agrees to ensure that this Supplemental Application and the activities conducted on the Exchange are lawfully done in accordance with the laws of the jurisdiction in which they are located. Should such a request be made unlawfully, the Exchange shall have the right to terminate such Member status.
- (h) Applicant agrees to notify the Exchange of any substantive change(s) to itself or its association with an affiliated firm, including but not limited to, all notice requirements outlined in MIAX Futures Rule 6.10.

Member:

Name:

Signature:

Title:

Date: